

Docket No. 200427US0 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Claudio CAVAZZA

SERIAL NO: 09/777,874

FILED: February 7, 2001

FOR: PHARMACEUTICAL COMPOSITION COMPREISING L-CARNITINE OR ALKANOYL L-CARNITINE, FOR THE PREVENTION AND TREATMENT OF DISEASES BROUGHT ABOUT BY LIPID METABOLISM DISORDERS

GAU: 1615

EXAMINER:

TECH CENTER 1600/2900

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6-9-01

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
2907-0006-0	1 5,037,851	08/06/91	CAVAZZA
2907-0007-0	2 5,145,871	09/08/92	CAVAZZA
2907-0008-0	3 5,043,355	08/27/91	CAVAZZA
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2907-0041-0	8 5,227,518	07/13/93	CAVAZZA
2907-0080-0	9 5,430,065	07/04/95	CAVAZZA
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2907-0146-0	14 5,753,703	05/19/98	CAVAZZA et al.
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2907-0189-0	17 5,883,127	03/16/99	CAVAZZA
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9651-0001-0 PCT	09/147,179	11/30/98	ALLOWED
9651-0002-0	09/268,723	03/17/99	PENDING
9651-0005-0 PCT	09/446,358 ✓	12/20/99	PENDING
198401US0 PCT	09/673,515 ✓	10/17/00	PENDING
200509US0 PCT	09/719,176 ✓	12/15/00	PENDING
200956US0 PCT	09/720,099 ✓	12/21/00	PENDING
200953US0 PCT	09/720,237	12/22/00	PENDING
201036US0 PCT	09/719,551	12/26/00	PENDING
205488US55 CONT	09/826,362	04/05/01	PENDING
200427US0 CONT*	09/777,874	02/07/01	PENDING

*Present application; listed for information.